

## Electronic Acknowledgement Receipt

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| <b>Application Number:</b>                  | 10766965  |
| <b>International Application Number:</b>    |   |
| <b>Confirmation Number:</b>                 | 4387  |
| <b>Title of Invention:</b>                  | System and method for avoiding transaction costs associated with trading orders |
| <b>First Named Inventor/Applicant Name:</b> | Thomas J. Daley   |
| <b>Customer Number:</b>                     | 63710   |
| <b>Filer:</b>                               | Glen Farbanish/Laura Alto   |
| <b>Filer Authorized By:</b>                 | Glen Farbanish  |
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| <b>Application Type:</b>                    | Utility under 35 USC 111(a)   |

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| Submitted with Payment | no |
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### File Listing:

| Document Number | Document Description | File Name              | File Size(Bytes)/<br>Message Digest                 | Multi Part /.zip | Pages (if appl.) |
|-----------------|----------------------|------------------------|---|------------------|------------------|
| 1               | Transmittal Letter   | 04-6175_100701_IDS.pdf | 43409<br>04b2ab0e0525bed80513ba48061d1f85c59d598d17 | no               | 5                |

### Warnings:

### Information:

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|---------------------|-------------------|--|---|----|----|
| 2                   | Foreign Reference | JP_2002-183446.pdf                           | 2564964<br>3ca56cd07a07d3a52d4f8bed85c6314c372b<br>6db0e9 | no | 37 |
| <b>Warnings:</b>    |                   |  |   |    |    |
| <b>Information:</b> |                   |  |   |    |    |
| 3                   | Foreign Reference | JP_2002-203112.pdf                           | 1881679<br>e8bbef7271db3a7636c796caa7db238e0c<br>409a     | no | 26 |
| <b>Warnings:</b>    |                   |  |   |    |    |
| <b>Information:</b> |                   |  |   |    |    |
| 4                   | Foreign Reference | JP_2003-058733.pdf                           | 1231924<br>9317b5be36d4d070599e7f33a2b85c1e7e5<br>31d3    | no | 20 |
| <b>Warnings:</b>    |                   |  |   |    |    |
| <b>Information:</b> |                   |  |   |    |    |
| 5                   | Foreign Reference | JP_2003-058741.pdf                           | 729591<br>919Ad805c1916eb03cc9b186da78f8f8<br>4dcf        | no | 11 |
| <b>Warnings:</b>    |                   |  |   |    |    |
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| 6                   | Foreign Reference | JP_2003-345987.pdf                           | 1572339<br>19092b6a6db5d432be13a5c99cd3880c<br>12bd       | no | 19 |
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| 7                   | NPL Documents     | AU_2006278382_Exam_Report_07-09-2009.pdf     | 167281<br>e3e512828a15e94eed164b4bde566cfacbc<br>33964    | no | 3  |
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| <b>Information:</b> |                   |  |   |    |    |
| 8                   | NPL Documents     | 11-499833_NOA_03-12-2010.pdf                 | 386360<br>3c3f32ea1d17694b46d871077596cd88a1e<br>1dad     | no | 9  |
| <b>Warnings:</b>    |                   |  |   |    |    |
| <b>Information:</b> |                   |  |   |    |    |
| 9                   | NPL Documents     | New_AZX_Session_10-1997.pdf                  | 36935<br>3ba53cb2b8c570ca22962ed9705d11ac56<br>7676       | no | 1  |
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| <b>Information:</b> |                   |  |   |    |    |
| 10                  | NPL Documents     | Harris_New_Trading_Landscap<br>e_05-2002.pdf | 746214<br>aa0f98f5482ae1778f783a18195d3a3f9a9f<br>e85     | no | 13 |
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| 11                  | NPL Documents | Scientific_Technical_Info_Cent<br>er_Search_Report_07-09-2009.<br>pdf | 4288663<br><small>5f74465e6c3517c1b026e60d02a77d095<br/>8eb6</small>     | no | 88 |
| <b>Warnings:</b>    |               |   |  |    |    |
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| 12                  | NPL Documents | PCT-<br>US05-03164_IPER_01-09-2007.<br>pdf                            | 165867<br><small>67ba3c05e15d644f61a13a11fd102747<br/>1e1d</small>       | no | 4  |
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| 13                  | NPL Documents | JP_2006-551589_OA_04-20-20<br>10.pdf                                  | 162212<br><small>6d753b04695d8b04d6d1ade13c9b6d91cfe<br/>74</small>      | no | 6  |
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| 14                  | NPL Documents | PCT-US05-03166_07-31-2006.<br>pdf                                     | 184553<br><small>6d5499b098f8da0dc7c50db1651dc0d2<br/>8c16ca</small>     | no | 4  |
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| 15                  | NPL Documents | JP_2006-551590_OA_04-20-20<br>10.pdf                                  | 132970<br><small>130dab78ead704973d6816d5976ae33c597<br/>d6e0f1</small>  | no | 6  |
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| 16                  | NPL Documents | PCT-<br>US05-03163_IPER_01-23-2007.<br>pdf                            | 174307<br><small>bab3ea1082517Bd54b653cab8172109eb<br/>ab2c</small>      | no | 5  |
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| <b>Information:</b> |               |   |  |    |    |
| 17                  | NPL Documents | JP_2006551588_OA_04-27-201<br>0.pdf                                   | 191748<br><small>2d6db81957a0f6a0bcecd6cb4215d6c9a21<br/>c2b0d</small>   | no | 8  |
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| 18                  | NPL Documents | PCT-<br>US05-03168_IPER_02-13-2007.<br>pdf                            | 156881<br><small>890ca125a275a07a094b46428023eb1f11d5e<br/>8c408</small> | no | 4  |
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| 19                  | NPL Documents | JP_2006-551591_OA_04-20-20<br>10.pdf                                  | 156775<br><small>d40934f82c0928854079a1b1bae5ef8475e<br/>738ae</small>   | no | 6  |
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| 20                  | NPL Documents | PCT-<br>US05-03159_IPER_07-31-2006.<br>pdf                         | 281632<br>40bdcab714dd8c58b994276b641ac8318f9<br>94f13a | no | 6 |
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| 21                  | NPL Documents | EP_05712558_Supp_Search_Re<br>port_12-05-2008.pdf                  | 75621<br>5494b0886e959188080a6325c4f98bcb10c<br>5c0a    | no | 2 |
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| 22                  | NPL Documents | JP_2006-551587_OA_04-20-20<br>10.pdf                               | 152907<br>0f43e8b77bc50ba692a0701f523f5480025<br>184b   | no | 6 |
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| 23                  | NPL Documents | PCT-<br>US06-30638_IPER_02-05-2008.<br>pdf                         | 258477<br>441c76bbcb46e1efc8b02b7246d6f6e1c516<br>e08f  | no | 5 |
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| 24                  | NPL Documents | PCT-<br>US06-30636_IPER_02-05-2008.<br>pdf                         | 270487<br>2622be1551cd4044f5a5b095b2402ca07f<br>564c    | no | 5 |
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| 25                  | NPL Documents | Luskin_Options_and_Futures.<br>pdf                                 | 359643<br>8c3db75c2f288b718b15ba76b7185dfe1392<br>49054 | no | 5 |
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| 26                  | NPL Documents | Notice_from_EPO_Concerning<br>_Business_Methods_10-01-200<br>7.pdf | 43094<br>a1751bb0796d18c2a05ad3450d6e6e17e52<br>8ac56   | no | 2 |
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| 27                  | NPL Documents | EP_05712558-5_Comm_Office<br>_Action_05-18-2010.pdf                | 210343<br>2305054db88295b4b416da0d0d01149<br>c8822      | no | 6 |
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| 28                  | NPL Documents | 11-499496_NOA_05-26-2010.<br>pdf                                   | 121699<br>10d1425bd5c7943d75b0c4db1a8125233f<br>000f1   | no | 4 |
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| 29   | NPL Documents  | EP_05712562-7_Comm_Office<br>_Action_05-18-2010.pdf | 242797<br><br>a09014f084e67115d099608c48b26f933c49187  | no | 6 |
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| 30   | NPL Documents  | EP_05712563-5_Comm_Office<br>_Action_05-18-2010.pdf | 248667<br><br>e6c4663cde4760db0f3a56ccabc1c469270e0812 | no | 6 |
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| <b>Information:</b>  |  |   |  |    |   |
| 31   | NPL Documents  | 10-767588_NOA_06-25-2010.<br>pdf                    | 320093<br><br>f46b158014ab1181e6921234c9c9c66c6a0f090f | no | 7 |
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| 32   | Information Disclosure Statement (IDS)<br>Filed (5/8/08) | 04-6175_100701_1449.pdf                             | 39523<br><br>44e12c10827f6f808c08a00c7a9ec1a2c7653     | no | 3 |
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